



3-9-01

GAU 13239

Express Mail Mailing Label No. EL327518640US

TRANSMITTAL FORM

Application Serial Number	08/922,263
Filing Date	September 2, 1997
First Named Inventor	Crowley
Group Art Unit	3739
Examiner Name	D. Shay
Attorney Docket No.	BSC-011
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form 	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Amendment/Response (12pgs.) <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Second Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] 		
<input type="checkbox"/> Petition for Extension of Time		
<input type="checkbox"/> Information Disclosure Statement <ul style="list-style-type: none"> <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations 		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Sequence Listing submission <ul style="list-style-type: none"> <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above 		

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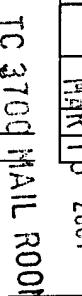
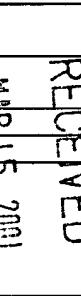
CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	<p>Respectfully submitted,</p> <p></p> <p>Steven J. Frank Attorney for the Applicant Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110</p>

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IP
SC15A
MAR 8 2001

FEE TRANSMITTAL
FY 2001

<i>Complete if Known</i>	
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METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																					
<input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Large Entity</th> <th style="width: 20%;">Small Entity</th> <th style="width: 60%;">Fee Description</th> <th style="width: 10%; text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>Fee (\$)</td><td>Fee (\$)</td><td></td><td></td></tr> <tr><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>2,520</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>390</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>890</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1,390</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1,890</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>310</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>310</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>270</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>50</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>180</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>710</td><td>355</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>710</td><td>355</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td colspan="2"></td><td>Other fee (Specify)</td><td></td></tr> <tr><td colspan="2"></td><td>Other fee (Specify)</td><td></td></tr> </tbody> </table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee (\$)	Fee (\$)			130	65	Surcharge - late filing fee or oath		50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	For filing a request for reexamination		110	55	Extension for reply within first month		390	195	Extension for reply within second month		890	445	Extension for reply within third month		1,390	695	Extension for reply within fourth month		1,890	945	Extension for reply within fifth month		310	155	Notice of Appeal		310	155	Filing a brief in support of an appeal		270	135	Request for oral hearing		130	130	Petitions to the Commissioner		50	50	Petitions related to provisional applications		180	180	Submission of Information Disclosure Statement		710	355	Filing a submission after final rejection (37 CFR 1.129(a))		710	355	For each additional invention to be examined (37 CFR 1.129(b))				Other fee (Specify)				Other fee (Specify)	
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2. AMENDMENT CLAIM FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Claims</th> <th style="width: 20%;">Highest No.</th> <th style="width: 20%;">Present</th> <th style="width: 20%;">Rate</th> <th style="width: 20%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>Remaining</td><td>Previously</td><td>Extra</td><td></td><td></td></tr> <tr><td>After Amend.</td><td>Paid For</td><td></td><td></td><td></td></tr> <tr><td>Total</td><td>49</td><td>- 43 =</td><td>6</td><td>x \$ 18.00 = 108.00</td></tr> <tr><td>Indep.</td><td>6</td><td>- 5 =</td><td>1</td><td>x \$ 80.00 = 80.00</td></tr> <tr><td colspan="2"><input type="checkbox"/> First Presentation of Multiple Dep. Claim</td><td></td><td>+ \$270.00 =</td><td>0.00</td></tr> <tr><td colspan="2"></td><td></td><td></td><td></td></tr> <tr><td colspan="2" style="text-align: right;">TOTAL:</td><td style="text-align: right;">(\$)</td><td colspan="2" style="text-align: right;">188.00</td></tr> <tr><td colspan="2" style="text-align: right;">SMALL ENTITY DISCOUNT:</td><td style="text-align: right;">(\$)</td><td colspan="2" style="text-align: right;">0.00</td></tr> <tr><td colspan="2" style="text-align: right;">SUBTOTAL (2)</td><td style="text-align: right;">(\$)</td><td colspan="2" style="text-align: right;">188.00</td></tr> </tbody> </table>		Claims	Highest No.	Present	Rate	Fee Paid	Remaining	Previously	Extra			After Amend.	Paid For				Total	49	- 43 =	6	x \$ 18.00 = 108.00	Indep.	6	- 5 =	1	x \$ 80.00 = 80.00	<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$270.00 =	0.00						TOTAL:		(\$)	188.00		SMALL ENTITY DISCOUNT:		(\$)	0.00		SUBTOTAL (2)		(\$)	188.00		SUBTOTAL (1) 0.00 SUBTOTAL (2) 188.00 SUBTOTAL (3) 0.00 TOTAL (\$) 188.00																																			
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PATENT
BSC-011Particulars of prior application:

Serial No.: 08/922,263

Filing Date: September 2, 1997

Examiner: D. Shay of Art Unite 3737

Status: Pending

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Crowley
 SERIAL NO.: 08/922,263 GROUP NO.: 3739
 FILING DATE: September 2, 1997 EXAMINER: D. Shay
 TITLE: INTERVENTIONAL PHOTONIC ENERGY EMITTER SYSTEM

Assistant Commissioner for Patents
 Washington, D.C. 20231

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SECOND PRELIMINARY AMENDMENT

Sir:

Prior to examination, please enter this Second Preliminary Amendment and consider the accompanying remarks. A Continued Prosecution Application Request was filed on February 27, 2001. A check and a Fee Transmittal for claim fees resulting from this Amendment are enclosed herewith.

AMENDMENT

In the Claims: 03/13/2001 AWONDAF1 00000075 08922263

01 FC:102	80.00 OP
02 FC:103	108.00 OP

Please add new claims 54-59 as follows:

54. (New) An interventional device comprising:

a thin, elongated member configured for insertion into a mammalian body, the member comprising a distal tip; and

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 a sonoluminescent light module associated with the elongated member, the sonoluminescent light module configured to acoustically generate a light inside the body, following insertion of at least the tip into the body.